

MAIL STOP PCT

ATTORNEY'S DOCKET NUMBER: 26817U

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		FILING DATE: 09 June 2005 (09.06.2005)
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLN. NUMBER: (if known): Not Yet Assigned <b>10/538488</b>
INTERNATIONAL APPLICATION NUMBER: PCT/JP2003/015469	INTERNATIONAL FILING DATE: 03 December 2003 (03.12.2003)	PRIORITY DATE CLAIMED: 10 December 2002 (10.12.2002) JP
TITLE OF INVENTION: OPTICAL DISK UNIT AND ABERRATION CORRECTING METHOD USED FOR THIS		
APPLICANT(S) FOR DO/EO/US: Junichiro TONAMI		

Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ This is an express request to begin national examination procedures (35 USC 371(f)) The submission must include items (5), (6), (9) and (21) indicated below.
- ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)):
  - ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - ☐ has been communicated by the International Bureau.
  - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
- ☒ A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - ☐ are attached hereto (required only if not transmitted by the International Bureau).
  - ☐ have been communicated by the International Bureau.
  - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - ☒ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:**

- ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ A **FIRST** preliminary amendment.
- ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment
- ☐ A substitute specification.
- ☐ A change of power of attorney and/or address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 1821 - 1825
- ☐ A second copy of the published international application under 35 USC 154(d)(4)
- ☐ A second copy of the English language translation of the international application 154(d)(4)
- ☒ Other items or information:  
TRANSMITTAL LETTER; TRANSMITTAL LETTER TO U.S. DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371; ENGLISH TRANSLATION OF INTERNATIONAL PUBLICATION NO. 04/053856, EXECUTED BY: EXECUTED BY: ENGLISH AND COPY OF EACH OF THE PUBLICATION OF THE  
A1, INTERNATIONAL PUBLICATION DATE: 24 JUNE 2004 (24.06.2004), WHICH CONSISTS OF 45 PAGES OF TEXTUAL SPECIFICATION, 8 PAGES OF ABSTRACT, 31 SHEETS OF DRAWINGS; EXECUTED INVENTOR'S DECLARATION AND PCT/IB/409 IN JAPANESE; ASSIGNMENT WITH RECORDATION COVERSHEET; PTO FORM-1449 WITH 5 CITED REFERENCES; PCT/IB/308; PCT/IB/304; PCT/IB/332; POSTCARP SERIAL NUMBER REQUEST.

ATTORNEY'S DOCKET NUMBER: 26817U

U.S. APPLICATION NUMBER: (If known) Not Yet Assigned <b>10/1538488</b>	INTERNATIONAL APPLICATION NUMBER: <b>PCT/JP2003/015469</b>	FILING DATE: <b>09 June 2005</b> (09.06.2005)
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The following fees are submitted:

21. Basic National Fee ..... \$ 300.00

22. Examination Fee

If International Preliminary Examination Report  
prepared by USPTO and all claims satisfy  
Provisions of PCT Article 33(1)-(4) ..... \$ 100.00  
All other situations ..... \$ 200.00

23. Search Fee (37 CFR 1.445(a)(2) has been paid on the  
International Application to the USPTO  
as an International Searching Authority ... \$ 100.00  
International Search Report prepared  
and provided to the Office ..... \$ 400.00  
All other situations ..... \$ 500.00

\_\_\_ Additional fee for specification and drawings filed in  
paper over 100 sheets (excluding Sequence listing or  
computer program listing filed in an electronic  
medium).

The fee is \$ 250.00 for each additional 50 sheets of paper  
or fraction thereof.

ENTER APPROPRIATE BASIC FEE AMOUNT =

## CALCULATIONS

## PTO USE ONLY

\$ 300.00

\$ 200.00

\$ 400.00

\$ 900.00

Surcharge of \$130.00 for furnishing the oath or declaration  
later than \_\_\_\_\_ 20 \_\_\_\_\_ 30 months from the earliest  
claimed priority date (37 CFR 1.492(e)).

\$ 0.00

CLAIMS	NUMBER: FILED	NUMBER: EXTRA	RATE		
TOTAL	20-20=	0	X \$ 50.00	\$ 0.00	
INDEPENDENT	6- 3=	3	X \$ 200.00	\$ 600.00	
Multiple dependent claims(s) (if applicable)			+ \$360.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1,500.00	
Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).				\$ 0.00	
SUBTOTAL =				\$ 1,500.00	
Processing fee of \$130.00 for furnishing the English translation later than _____ 20 _____ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +				\$ 0.00	
TOTAL NATIONAL FEE =				\$ 1,500.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 1,540.00	
				Amount to be: refunded	\$
				charged	\$

ATTORNEY'S DOCKET NUMBER: 26817U

U.S. APPLICATION NUMBER: <b>10/538488</b> <small>NUMBER (If known)          Not Yet Assigned</small>	INTERNATIONAL APPLICATION NUMBER: <b>PCT/JP2003/015469</b>	FILING DATE: <b>07</b> June 2005 ( <b>07</b> .06.2005)
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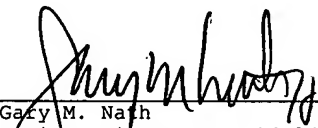
- a. ☒ One check in the amount of \$ 1,540.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account Number: 14-0112 in the amount of \$  
to cover the above fees. (A duplicate copy of this sheet is enclosed.)
- c. ☒ The Commissioner is hereby authorized to charge any additional fees, which June be  
required, or credit any overpayment to Deposit Account Number: 14-0112.
- d. ☐ Fees are to be charged to a credit card ☐ WARNING: Information on this form June  
become public ☐ Credit Card Information should not be included on this form.  
☐ Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a  
petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the  
application be restored to pending status.

Send All Correspondence To:

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

TONAMI

Serial Number: Not Yet Assigned

Filing Date: June 9, 2005

Internatl. Appl. No.: PCT/JP2003/015469

Internatl. Filing Date: 03 December 2003 (03.12.2003)

Title: **OPTICAL DISK UNIT AND ABERRATION CORRECTING METHOD USED FOR THIS**  
**TRANSMITTAL LETTER**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US) Concerning Filing under 35 U.S.C. 371;
- (2) English Translation of International Publication Number: WO 2004/053856 A1, International Publication Date: 24 June 2004 (24.06.2004), which consists of 86 pages:
  - 1 page for the Cover Sheet,
  - 45 pages of Textual Specification,
  - 8 pages of 20 Claims,
  - 1 page of the Abstract,
  - 31 sheets of Drawings;
- (3) Executed Inventor's Declaration and Power of Attorney;
- (4) Executed Assignment with Recordation Coversheet;
- (5) PCT/IPEA/409 in Japanese;
- (6) PCT/ISA/210 in English and Japanese;
- (7) Information Disclosure Statement;
- (8) PTO Form-1449 with 5 cited references;
- (9) Copy of each of the 5 cited references;
- (10) PCT/IB/308;
- (11) PCT/IB/304;
- (12) PCT/IB/332;
- (13) Check Number: 23151 in the Amount of \$ 1,540.00 for Basic Filing Fee (\$300.00), Examination Fee (\$200.00), Search Fee (\$400.00), and 3 Extra Independent Claims Over 3 (\$600.00) as a Large Entity, and for the Recordation of the Assignment Fee (\$40.00);
- (14) Postcard for Early Notification of the Serial Number Request.

Respectfully submitted,  
**NATH & ASSOCIATES PLLC**

Date filed: June 9, 2005

By: **NATH & ASSOCIATES PLLC**  
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